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# Case Studies for the Master Clinician: Brachial Plexus Birth Injury

Patti Sharp, OTD, MS, OTR/L Moderated by: Fawn Carson, MS, OTR/L, ATP

continued



Patti Sharp, OTD, MS, OTR/L



#### Learning Objectives

Participants will be able to:

- Describe the brachial plexus, epidemiology and presentation of a brachial plexus birth injury.
- List assessments and outcome measures used with this population.
- Explain several evidence-based interventions for brachial plexus birth injury, both conservative and post-operative.

continued

#### Agenda

Part 1:

Introduction

Part 2:

Assessment and Intervention

Part 3:

Case Introduction/Videos

Part 4:

Parent Interview

Part 5:

Clinician Interview

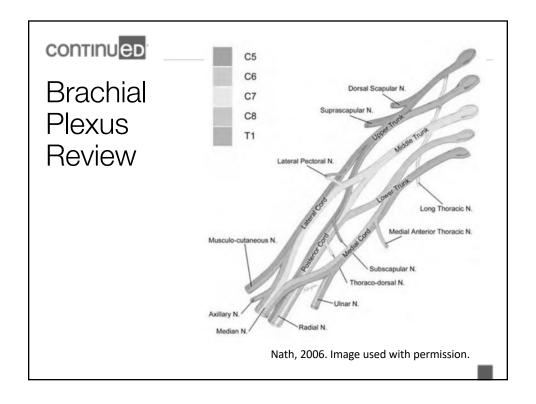
Part 6:

Resources

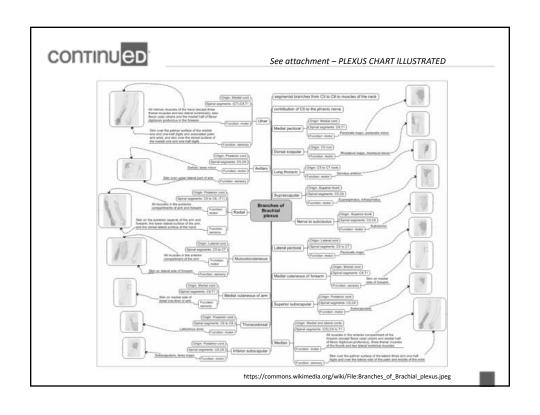


#### Brachial Plexus Birth Injury

Introduction







Plexus Segments	Nerves	Muscles	Motion
C5	Dorsal scapular	Rhomboid, Levator scapulae	Scapular elevation
C5-7	Long thoracic	Serratus Anterior	Scapular stabilization
C5-6	Suprascapular	Supraspinatus & Infraspinatus	Shoulder abduction & ER
C5-T1	Pectoralis	Pectoralis	Shoulder flexion, extension, adduction
C5-6	Axillary	Deltoid, Teres minor, Triceps	Shoulder abduction & ER, elbow extension
C6-8	Thoracodorsal	Latissimus dorsi	Shoulder extension, adduction, IR
C5-7	Musculocutaneous	Biceps & Brachialis	Elbow flexion
C5-T1	Radial	Triceps, Extensor carpi, Radialis longus, Radialis brevis	Elbow extension, wrist extension
C5-T1	Median	Pronator teres & quadratus, Flexor carpi radialis, digit flexors	Wrist & digit flexors
C8-T1	Ulnar	Interossei & lumbricals, Adductor polices	Movement of medial 2 digits



#### Brachial Plexus Birth Injury

- Incidence: 1.5 per 1,000 live births
- Evidence of decreasing rate in the US
- Motor impairment lasting >12 months
  - 10-18% in the US
  - 19-23% in other countries
- Chauhan, Blackwell, & Ananth, 2014

#### continued

#### **Risk Factors**

- Shoulder dystocia
- Heavy-for-dates
- Macrosomia (>4.5Kg)
- Maternal obesity
- Maternal diabetes
- Breech delivery
- Instrumented birth
- Birth Hypoxia

#### **Protective Factors**

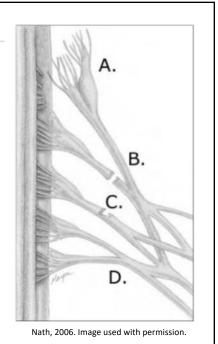
- Multiple gestation
- Cesarean delivery
- 54% with no known risk factors

DeFrancesco, et al., 2017 Foad, Mehlman, & Ying, 2008



#### Types of nerve injuries

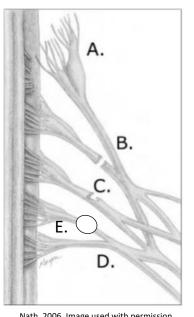
- A. Avulsion nerve is torn from the attachment at the spinal cord; Horner's Syndrome (eyelid droop) suggests very severe avulsion of the lower plexus
- B. Rupture nerve is torn along it's length



continued

#### Types of nerve injuries

- c. Partial rupture
- D. Neurapraxia stretch injury, in BPBI heals spontaneously 64% of the time
- E. Neuroma growth of scar tissue which prevents conduction



Nath, 2006. Image used with permission.



#### Spontaneous Recovery

- 64% with functional recovery by 12 months
- Re-innervation ~ 1mm/day or ~1 inch/month
- Recovery of motor function occurs proximally to distally
- Motor return by injury:
  - C5-6 by 3-5 months
  - C5-7 by 7-9 months
  - Total (C5-T1) by 14 months
- Sensory return up to 2+ years

Foad, et al., 2009

continued

#### Global Treatment Goals

- Provide family/caregiver education and support
- Optimize positioning
- Promote/preserve joint integrity
- Improve range of motion (ROM)
- Improve strength
- Improve symmetry
- Promote development of gross motor and fine motor skills
- Improve sensory awareness/processing



#### Parent Support

- Parents of children with BPBI experience the typical concerns of all new parents, plus added issues due to their child having special needs:
  - feelings of guilt
  - feeling overwhelmed with medical intervention, appointments, potential for surgery, etc.
  - grief
  - additional stress on a marriage
  - family and friends not understanding



#### Brachial Plexus Birth Injury

Assessment and Intervention

continued

#### Treatment of the Infant with BPBI

- Positioning to preserve joint integrity in the face of muscle imbalance
- Alignment, alignment, alignment!
- PROM to maintain joint range
- Facilitation of AROM as able
- Sensory & visual stimulation
- Monitoring need for early surgical intervention



#### Infant PROM

- The home exercise program (HEP) should address all planes of motion showing signs of tightness and/or disuse
- Gentle PROM:
  - Shoulder abduction, flexion, external rotation
  - Elbow extension, flexion
  - Forearm supination, pronation
  - Wrist/digit extension
- Optimal to carry out PROM exercises with infant at least 4x/day (every diaper change)

continued

#### Infant Splinting

- Splinting should only be used when the infant is at risk for losing ROM or damaging joints
- Splinting when not necessary limits spontaneous use and sensory exposure
- Common infant splints:
  - Resting hand splint for night/nap wear
  - Wrist cock-up/dorsal wrist cock-up splint
  - Soft thumb abduction splint



#### Infant AROM

- Younger Infant (< 4 months) rely on sensory stimulation and reflexes
  - Use Moro to promote shoulder responsiveness in abduction and external rotation
  - Use ATNR to promote bicep responsiveness
- Older infant (> 4 months) reaching activities
  - Always begin with gravity eliminated positions when working for active muscle contractions
  - Eccentric contractions can often be stimulated prior to concentric contractions (i.e. hold after being placed)

continued

#### Infant AROM

- Therapeutic taping can be used to facilitate movement and provide stability
- Used most often with BPBI to address:
  - Facilitation of shoulder abduction/external rotation
  - Facilitation of biceps
  - Facilitation of wrist extension
- Good reference for taping specifics:
   Kase, K., Martin, P., & Yasukawa, A. (2006). Kinesio Taping in Pediatrics: Fundamentals and Whole Body Taping.
   Kinesio.



#### Time is of the essence

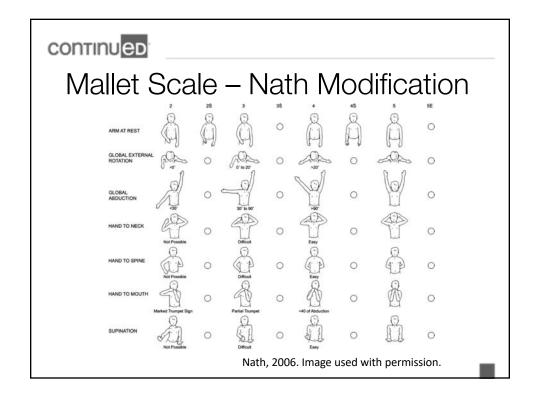
- Minimize or prevent compensatory motor patterns as much as possible
- Always start proximally; all distal function is built on proximal stability
- Balance between extensors and flexors as well as symmetry is crucial for the development of adequate movement patterns
- As child begins to attempt to utilize his affected extremity, core stability becomes threatened and must be monitored closely

continued

#### Formal Assessment of BPBI

- Narakas Classification (Narakas, 1985)
  - Used at 2-3 weeks, prognostic
- Toronto Test Score (Michelow, 1994)
  - Quantifies UE function, predicts recovery based on age
- Active Movement Scale (Clarke, 1995)
  - Measures UE function in detail
- Mallet Classification (Mallet, 1972)
  - Classifies shoulder function
- Above are reliable and valid (Bae, 2003)
- See "ASSESSMENTS" attachment





#### Infant Assessment

• (video)



#### Infant Assessment

ROM	PASSIVE	ACTIVE
Shoulder ER	85	-90
Supination	90	-90
Pronation	90	-90

#### **AMS**

Shid Abd	0
Shld Add	7
Shid Flex	0
Shid ER	0
Shld IR	2
Elbow Flex	0
Elbow Ext	2
FA Pron	7
FA Sup	0
Wrist Flex	7
Wrist Ext	2
Digit Flex	7
Digit Ext	2
Thumb Flex	7
Thumb Ext	0



- Likely a near-global plexus injury; C5-C8?
- Most likely will recommend surgery

continued

#### Indications for Surgery

- Global BPBI hand involvement
- Horner's Syndrome indicates avulsion
- No sensation indicates rupture or avulsion
- No biceps function against gravity at 3 months



#### Infant Surgical Protocols

- If there is no motor return within the first 3-6 months after birth, surgery is recommended
  - When surgery is scheduled, it should not be the first time the parent is hearing about this
  - Parents need constant reassurance that their participation in the HEP is important, but that it won't prevent surgery
  - Surgery is needed when the nerves are irreversibly damaged, not when a parent doesn't try hard enough
- The procedure chosen is based on the extent of the damage observed upon exploration

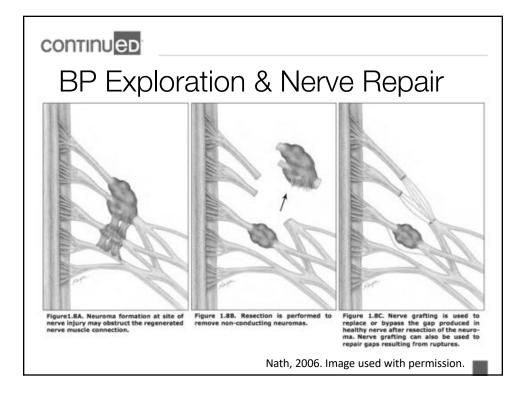
continued

#### BP Exploration & Nerve Repair

Surgery completed between 3-6 months of age:

- Neurolysis removal of the constrictive scar tissue surrounding the nerve
- Neuroma Excision large neuromas can develop when the trauma causes persistent swelling; must be removed and the nerve can be reattached or grafted
- Nerve Grafting needed when the gap in healthy nerve is too large to reattach without tension; donor nerve is most often the Sural nerve in the legs





#### Nerve Repair Post-Op

- Patients are typically immobilized for 2-3 weeks post repair
- No ROM for 2 weeks
- 2 weeks post-op
  - Initiate shoulder PROM to 50% (90°) at 2 weeks
  - AROM as able
  - Scar massage once incision is closed
- 8 weeks post-op
  - No restrictions
  - Begin resistive exercises and weight-bearing
  - Aquatic therapy if possible



#### **Nerve Transfers**

- Cross transfer
- Isolated transfers
  - spinal accessory to suprascapular
  - triceps nerve branch to axillary
  - ulnar nerve branch to musculocutaneous
- Isolated nerve transfers can be done until ~18 months
  - if done later, muscles will have atrophied to the point that nerve innervation will not impact active motor function
- Post-op: Immobilization for 3 days, resume therapy without restriction after 3 weeks

continued

#### Evidence-Based Interventions

- Serial Casting
- Neuromuscular Electrical Stimulation (NMES)
- Botulinum Toxin A
- Constraint Induced Movement Therapy (CIMT) and modifications thereof (mCIMT)
- Virtual Reality



#### Serial Casting

- Successful for elbow flexion contractures
  - Ho, et al., 2018
  - Duijnisveld, et al., 2016
- Surgical tendon lengthening is more effective
  - Nath & Somasundaram, 2016
  - Nath & Somasundaram, 2015

continued

# Neuromuscular Electrical Stimulation

- BPBI Elnaggar, 2016
- Hemiplegic CP Qi, et al., 2018
- CP Elbasan, et al., 2017



#### **Botulinum Toxin A**

- Buchanan, et al., 2018 (systematic review)
- Greenhill, et al., 2018
- Duijnisveld, et al., 2017

continued

#### CIMT

- CIMT
  - Hoare, et al., 2007
  - Fritz, Butts, & Wolf, 2012
  - Abdel-Kafy, et al., 2013
  - Smania, et al., 2012
  - Vaz, et al., 2010 (case study)
  - Buesch, et al., 2010 (case series)



#### Multimodal Therapies

- CIMT with NMES
  - Berggren & Baker, 2015
- CIMT with Botox
  - Santamato, et al., 2011

continued

#### Virtual Reality

- El-Shamy, & Alsharif, 2017
  - Used the Armeo Spring with kids with BPBI
  - Armeo used with other populations as well
  - https://www.hocoma.com/us/solutions/armeo-spring/



#### Brachial Plexus Birth Injury

Case Study

continued

#### Meet Kristen

- Mom normal weight and health
- No gestational diabetes identified with testing
- Sub-preeclamptic
- Large baby, though no ultrasound done





- Difficult vaginal delivery;
   9lb 10.5oz
- L Shoulder dystocia
- Horner's syndrome
- Waiter's tip
- Flail arm

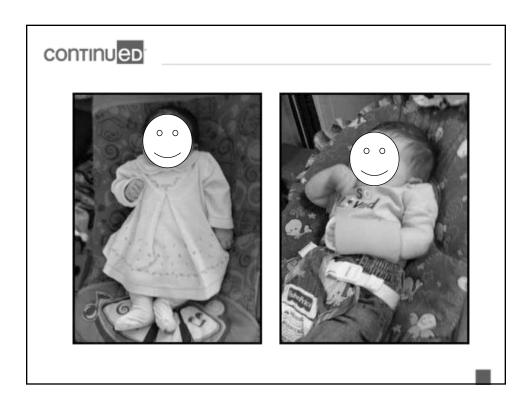


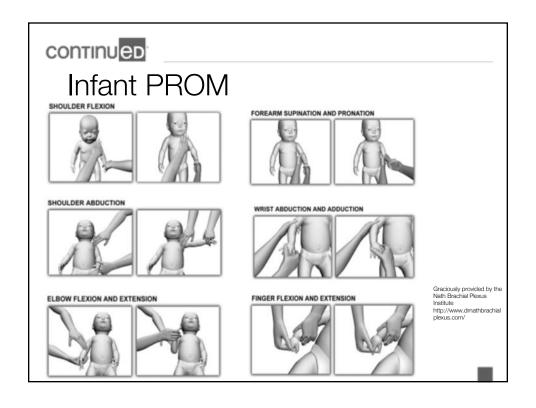
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#### Extent of Injury

- Was seen in Brachial Plexus Clinic at Cincinnati Children's Hospital at 3 days
- Diagnosed with suspected global BPBI affecting nerves C5-T1
- Began OT immediately to prevent further injury and promote motor return if possible
- Without surgical examination, it's impossible to tell the true extent of the injury









#### Extent of Injury

- Kristen's arm remained limp and flaccid
- No motor return within the first 3 months
- Scheduled for surgery as per protocol
- Surgical examination
  - T1 & C8 intact but stretched
  - C7 ruptured
  - C5-6 roots fully avulsed with neuroma formation

Pre-op Toronto – 12/2/10

Joint	Numerical Score
Elbow flexion (0-2)	0
Elbow extension (0-2)	0
Wrist extension (0-2)	0
Finger extension (0-2)	0
Thumb extension (0-2)	0
Total score (0-10)	0

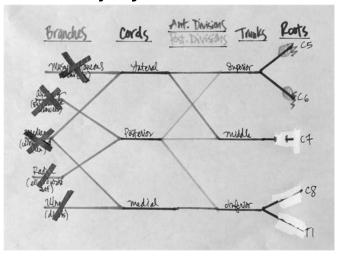
Extent of Injury- Normal Plexus

Branches Cords Ant. Divisions Tranks Ports
(State of State o





#### Extent of Injury - Kristen

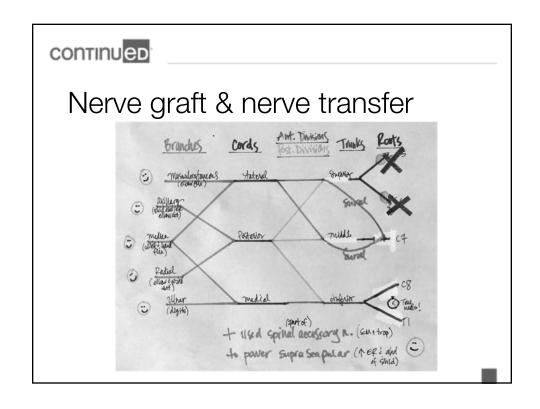


continued

#### 1<sup>st</sup> Surgery at 3 months 3-19-11: Nerve graft & nerve transfer

- Nerve grafts to repair C7
  - Root to anterior division of upper trunk
  - One link for each of the posterior divisions of the upper and middle trunks
  - Sural nerve used for donor
- Isolated nerve transfer
  - spinal accessory to suprascapular

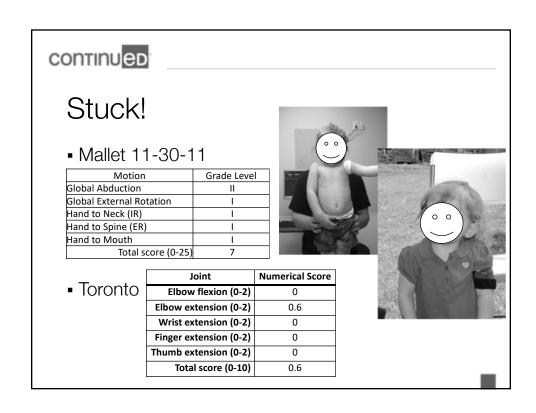














# Changing gears Began aquatic therapy Botox treatments 1/19/12 - Pecs 11/7/12 - Triceps

continued

Videos 1 and 2



#### Measurable Improvement!

**4/17/12** 

**AMS** 

Shoulder Abduction: 0 Shoulder Adduction: 6 Shoulder Flexion: 2

Shoulder External Rotation: 0 Shoulder Internal Rotation: 6

Elbow Flexion: 1 Elbow Extension: 7 Forearm Pronation: 7 Forearm Supination: 0 Wrist Flexion: 5 Wrist Extension: 0 Finger Flexion: 1 Finger Extension: 0

Thumb Flexion: 0
Thumb Extension: 0

**TORONTO** 

Elbow flexion (0-2): 0.3 Elbow extension (0-2): 2 Wrist extension (0-2): 1.3 Finger extension (0-2): 0 Thumb extension (0-2): 0 Toronto Score Total (0-10): 3.6

MALLET

Global Abduction: 2

PROM

Pronation Passive: full Shoulder ER Passive: 70



#### continued

#### Joint Mobilization

- Glenohumeral joint integrity
  - Poor infraspinatus function (due to initial nerve damage)
  - Tightening/shortening of the subscapula from consistent internal rotation positioning
- Scapulohumeral rhythm
  - Poor function of scapular stabilizers



CONTINU ED

### Not quite enough...

**3/22/13** 

**TORONTO** 

Elbow flexion (0-2): 0.6 Elbow extension (0-2): 2 Wrist extension (0-2): 1.3 Finger extension (0-2): 0 Thumb extension (0-2): 0 Toronto Score Total (0-10): 3.9

**MALLET** 

Global Abduction: 2 Global External Rotation: 1 Hand to Neck (ER): 2 Hand to Spine (IR): 1 Hand to Mouth: 1 Internal Rotation: 4 Mallet Classification Total Score (0-30): 11 ROM

Pronation Active: 90
Pronation Passive: 90
Supination Active: 0
Supination Passive: 90
Shoulder ER Active: <0
Shoulder ER Passive: 5

**AMS** 

Shoulder Abduction: 2 Shoulder Adduction: 7 Shoulder Flexion: 2

Shoulder External Rotation: 0 Shoulder Internal Rotation: 7

Elbow Extension: 7 Forearm Pronation: 7 Forearm Supination: 0 Wrist Flexion: 1 Wrist Extension: 5 Finger Flexion: 7

Elbow Flexion: 2

Finger Extension: 0 Thumb Flexion: 7 Thumb Extension: 0

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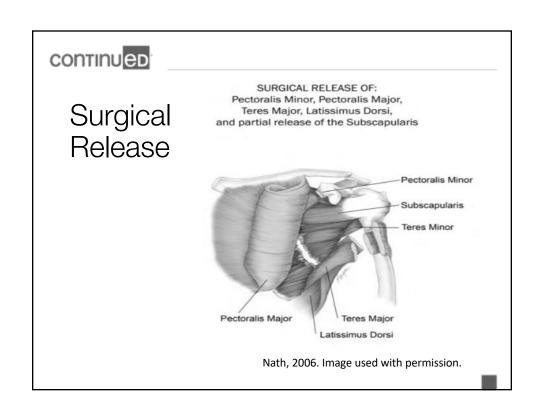
#### Internal Rotation Contractures

- Contracture rate up to 39% based on severity of initial injury
  - Duijnisveld, et al., 2017
  - Pondaag, et al., 2005
  - Hoeksma, et al., 2003
- Conservative treatment first
  - Stretching, joint mobilizations, antagonist strengthening
  - Botulinum Toxin A
  - Serial casting

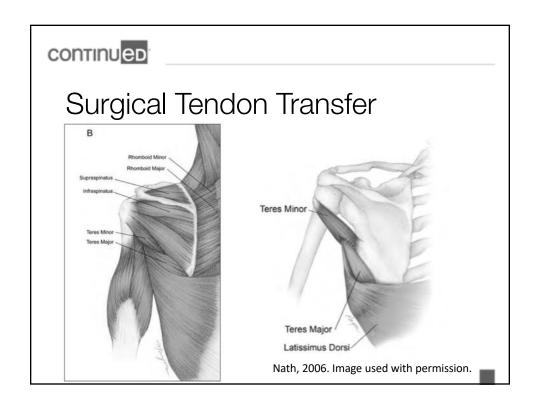


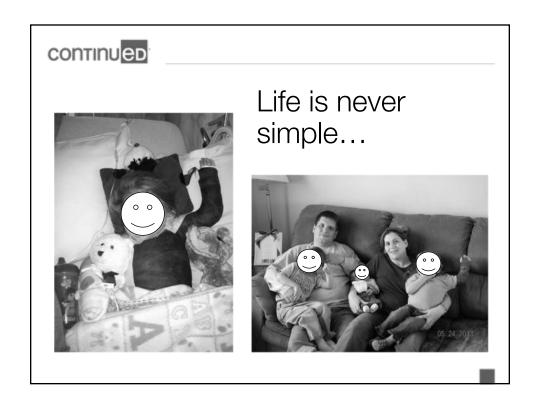
## 2<sup>nd</sup> Surgery @ 2½ years 4/3/13: Release & tendon transfer

- Sever-L'Episcopo Procedure
- Failure in recovery of adequate left shoulder abduction and external rotation, development of left shoulder internal rotation contracture
- Latissimus and teres major conjoined tendon released from humerus
- Tendons then sutured into the posterior superior rotator cuff through the interval between the triceps and the deltoid











#### Therapy Priorities

- Building of core stability followed by facilitation of rotational skills
- Consideration of lower extremity function
- Preparation for function: decreasing tightness in non-affected muscles
- Facilitation of Bilateral Scapular Stability
- Facilitation of Shoulder Function

continued

#### **Shoulder Function**

- Build symmetry lengthen unaffected muscles, strengthening the weak muscles
- Body position inhibit any compensatory patterns
  - Check early and often
  - New motor pathways that may be now available to the child will be inhibited by old patterns if not discouraged
  - Similar to developmental apraxia/DCD
  - Don't use activities which reinforce old patterns



#### Facilitation of Overall Function

- Although we are concerned with the affected extremity function, integration of the extremity into bilateral activities of daily living is crucial
  - Start with balance responses
  - Bilateral midline activities
  - Bilateral reaching
- Only when the child has integrated the extremity into their body schema do we isolate out the affected extremity for reaching and use as a assist in function.

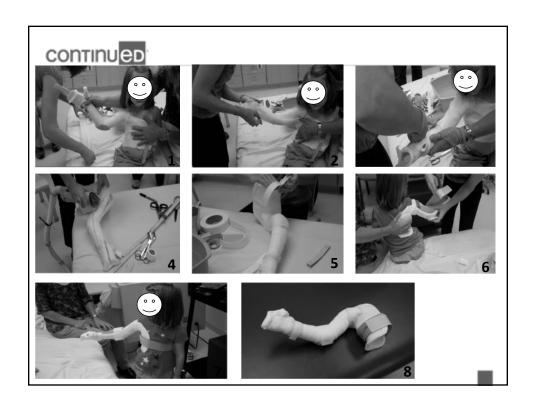
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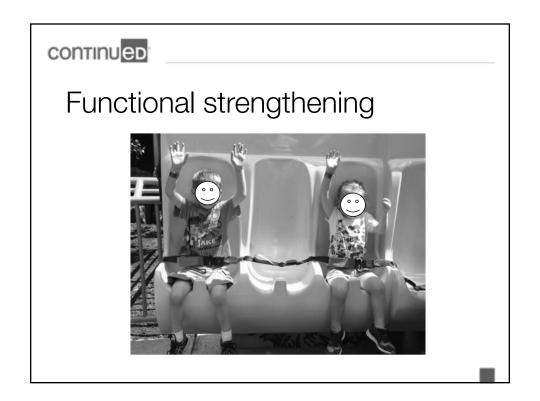
#### Therapy focus

- Aggressive PROM with emphasis on home adherence despite behavioral resistance
- Weightbearing
- NMES
- AROM & strengthening
- Functional use of LUE
  - Very difficult due to lack of grasp/release
- Night splinting to prevent return of IR contracture











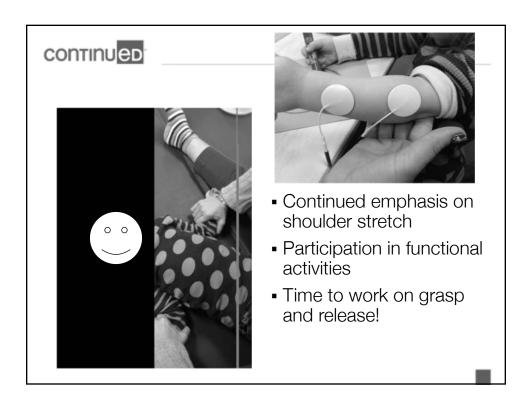
Self-Care- Video 3

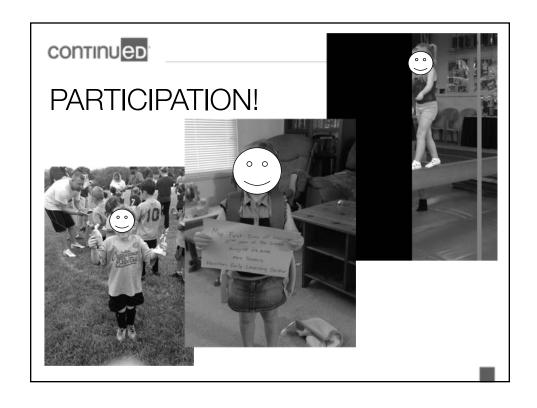
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Gains in AROM  $\rightarrow$  gains in function

Video 4









- When obsessing over the shoulder and hand, don't forget the elbow!
- Elbow contracture rate: 48%>10° (Sheffler, 2012)
- Limb length discrepancies: 95% of length of unaffected limb (Bae, Ferretti, & Waters, 2008)
- Serial splinting and/or casting



### continued

- And don't forget about that forearm!
- Rate of supination contractures: 10-23% (Yam, et al., 2009)
- Pronation contractures: 4-5% (Liggio, et al. 1999)
- Forearm contractures are very difficult to correct conservatively











## Progress 8/19/14

#### **TORONTO**

Elbow flexion (0-2): 1.3 Elbow extension (0-2): 1.3 Wrist extension (0-2): 1.3 Finger extension (0-2): 0.3 Thumb extension (0-2): 0.3 Toronto Score Total (0-10): 4.5

#### **MALLET**

Global Abduction: 3 Global External Rotation: 4 Hand to Neck (ER): 2 Hand to Spine (IR): 2 Hand to Mouth: 1 Internal Rotation: 2

Mallet Classification Total Score (0-30): 14

#### **ROM**

Pronation Active: 0 Pronation Passive: 90 Supination Active: 0 Supination Passive: 90 Shoulder ER Active: 20 Shoulder ER Passive: 90

#### AMS

Shoulder Abduction: 2 Shoulder Adduction: 7 Shoulder Flexion: 2

Shoulder External Rotation: 3 Shoulder Internal Rotation: 6

Elbow Flexion: 3
Elbow Extension: 3
Forearm Pronation: 0
Forearm Supination: 0
Wrist Flexion: 1
Wrist Extension: 5
Finger Flexion: 3
Finger Extension: 1
Thumb Flexion: 6

Thumb Extension: 1

## continued

Constraint Induced Movement Therapy (CIMT)

- Well-supported intervention for BPBI
- Multiple protocols
- Kristen's schedule
  - Off for school and sports
  - On all waking hours at home
  - 5-8 hrs./day for 6-8 weeks





Self-Feeding

Videos 5 and 6

continued

Functional hand use at home

Videos 7 and 8



Pronation and Grasp & Release

Videos 9, 10, and 11

continued

Adapt when needed – function first

Videos 12 and 13



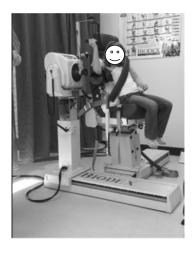
# Nothing stops her!

Video 14

 Hand dominance is not straightforward for kids with BPBI

continued

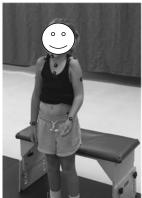
Virtual Reality & Robotics

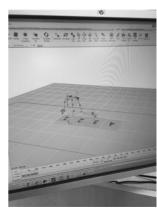




# Motion Analysis Lab







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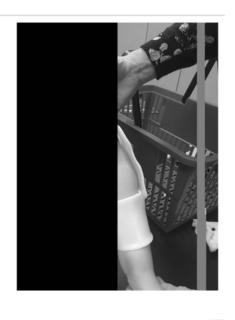


Collaboration with UC Engineering & Design Students



## That darn elbow!





continued

# What else can improve function?

**12/19/17** 

#### Toronto

Numerical Score
1.6
0.6
1
0.3
0
3.5

#### Mallet

Motion	Grade Level
Global Abduction	3
Global External Rotation	2
Hand to Neck (IR)	2
Hand to Spine (ER)	2
Hand to Mouth	3
Total score (0-25)	15

#### ROM

Pronation Active: -90 Pronation Passive: 0 Supination Active: 90 Supination Passive: 90 Shoulder ER Active: 50 Shoulder ER Passive: 90

#### <u>AMS</u>

Shoulder Abduction: 2 Shoulder Adduction: 7 Shoulder Flexion: 2 Shoulder External Rotation: 6 Shoulder Internal Rotation: 3 Elbow Flexion: 7 Elbow Extension: 2 Forearm Pronation: 0

Forearm Pronation: 0
Forearm Supination: 7
Wrist Flexion: 0
Wrist Extension: 3
Finger Flexion: 1
Finger Extension: 2
Thumb Flexion: 2
Thumb Extension: 2



### CONTINU ED

# 3<sup>rd</sup> surgery @ 7 years: Forearm osteotomy & Tendon re-routing

- Supination contracture
- Distal fragment of radius rotated until it rests in ~20° pronation
- Distal limb of the biceps tendon is brought around the radius to provide a pronation moment
- Rerouted biceps tendon is repaired to the proximal stump of the biceps tendon
- Radius fixed with plate and screws

## continued

# Forearm Osteotomy







Pronated skills

Videos 15 and 16

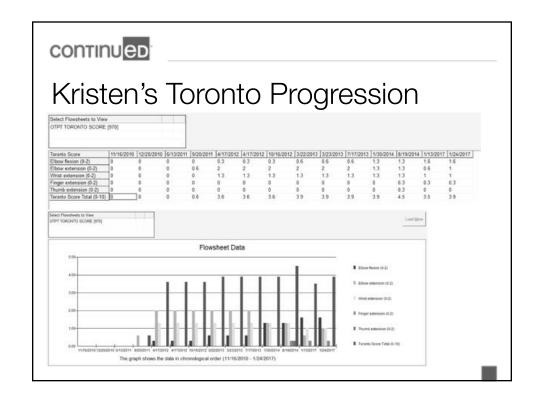
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Time to work on digit control and use

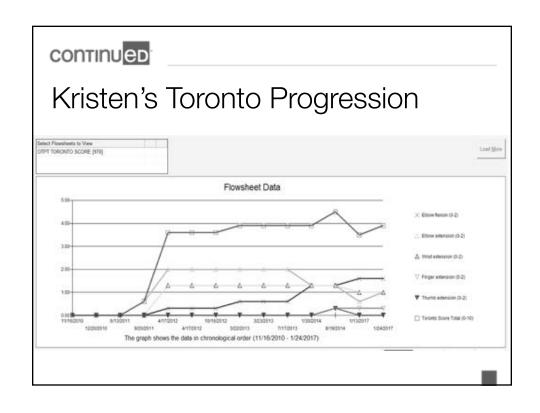
Videos 17 and 18

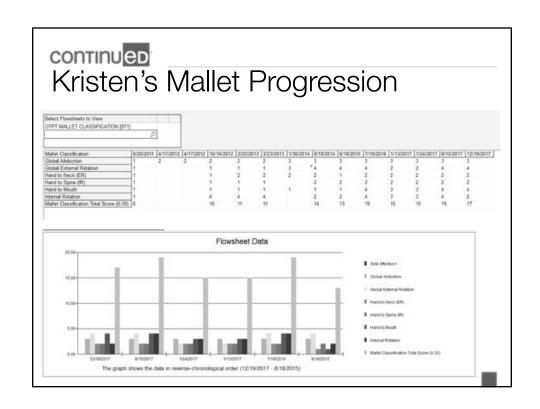




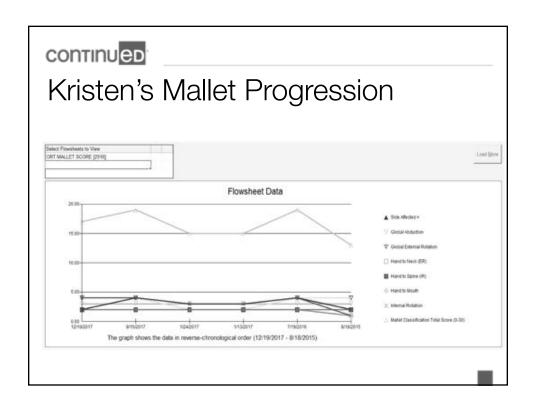


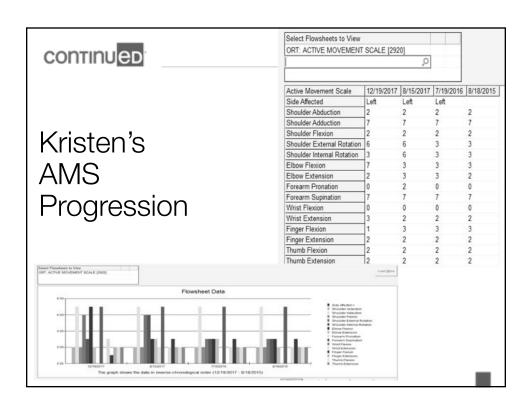














# Mom's perspective

Video

continued

# The big picture

- Strive for "normal," but don't expect it
- Tools are not silver bullets
- Obsessing over range in one joint can be costly
  - Takes range from other joints
  - Generally results in compensatory patterns
- Functional activities are far more effective at achieving range and strength gains than are rote exercises
- Ultimate goal is to PARTICIPATE and find enjoyable activities which demand BUE use



Participation is KEY

continued

Special thanks to Kristen and her fabulous Mom, Nedra who play along with all my crazy OT ideas

