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# Application of the Kawa Model in Geriatrics

Presented by: Dr. Jennifer Lape, OTD, OTR/L

continued

### Learning Outcomes

- List the components of the Kawa model and their meanings
- 2. List the steps in using the Kawa model with a geriatric client
- 3. Describe the current research on the application of the Kawa model in geriatric settings including long term care, home care, and community-based settings





#### What is the Kawa model?

- A holistic conceptual model of practice
- Developed by a group of Japanese & Canadian occupational therapists
- The model uses the metaphor of a river as a platform to consider one's strengths, barriers, and circumstances
- Emphasizes the interaction between an individual, his/her contexts, and inter-relations of self & others
- An alternative to Western models of care used to provide culturally competent care

(Iwama, Thomson, & Macdonald, 2009)



#### River Flow "water"

- Represents "life flow" or one's subjective view of himself/herself, well-being, and context
- Flow is impacted by components of the river: walls, bottom, rocks, & driftwood
- <u>Birth:</u> the river begins when the water flows down from the mountains to create the river
- <u>Death:</u> the river ceases to flow or when the river releases into the ocean

(Iwama et al., 2009)



#### River Walls & Bottom

- Represents the social & physical environments/ contexts
- Could be positive or negative
- Examples:
  - Family members, coworkers, friends (could be a support or a hinderance)
    - A friend who drives you to appointments, does your shopping, & lends emotional support after your hip surgery would be a support; whereas, a co worker who doesn't collaborate well on an assigned team project could be a hindrance.
  - Home, work, physical environment
    - Negative examples: Not having a ramp; lack of handicapped parking; toilet seat is too low; cooking utensils stored out of reach

#### continued

#### **Rocks**

- Represents life's problems, or obstruction of water flow
- The size/composition of the rock symbolizes the degree of impact (i.e. a very large rock = a significant impact to one's life)
- Can exist throughout life, appear suddenly, or resolve
- Examples:
  - Illness or injury
  - Financial difficulties
  - Work stress/demands
  - Death of a family member or friend (wama et al., 2009)



### Driftwood/Debris

- Represents personal attributes & resources
- Can be positive or negative; Driftwood can shift rocks (problems) to increase flow (positive) or can cause further obstruction (negative)
- Examples:
  - Values/character: dependability, loyalty, integrity, sincerity, kindness, devotion
  - Personality: extroversion, introversion, conscientiousness, agreeable
  - Knowledge/Experience: academic degree, 10 years as an OT, prior therapy for an injury
  - Skills: advocacy, gardening, financial planning
  - Material assets: money, adaptive equipment, adequate transportation (wama et al., 2009)

#### CONTINU ED

### Spaces Between Obstruction

- Represents occupation & the focus on occupational therapy intervention
- Spaces represent opportunities for expanding flow and wellbeing
- Expands the client's & care provider's views beyond a focus on the rocks (problems)

(Iwama et al., 2009)





### Steps in Using the Kawa Model for an Individual Geriatric Client

- Determine who your client is via construction of the client's individual river model
- 2. Ask for clarification on the client's river model
- 3. Prioritize issues according to the client's perspective
- 4. Further assess focal points of occupational therapy intervention
- 5. Complete occupational therapy intervention
- 6. Evaluate the outcomes of occupational therapy intervention (wama, 2006; Teoh & Wama, 2015)

CONTINUED

### Step 1: Construction of the client's individual river model

- Guide: <a href="http://www.kawamodel.com/download/">http://www.kawamodel.com/download/</a> KawaMadeEasy2015.pdf
- Use as a qualitative assessment tool; No particular order; can build occupational profile
- Progress from general to specific
- The activity itself may be therapeutic rather than simply an assessment
- Describe each element of the model & invite the client to add to the drawing (warna, 2006; Teoh & Iwama, 2015)



### **Guiding Question Examples**

- River Flow: If your life was a river, how would you describe your flow right now? What does your typical day look like? What do you enjoy?
- River Walls/Bottom: Can you describe where you live? Work? Who do you spend most of your time with?
- Rocks: Are you having any difficulties right now? Something about your life you would like to change? Anything you are worried about?
- Driftwood/Debris: Tell me about your education, skills etc.? How would you describe yourself? How do you cope with stress or view challenges?

(Teoh & Iwama, 2015)



# Step 2: Ask for clarification on the client's river model

- Use probing question to affirm the meaning of aspects of the drawing, the relationships among various elements, and the impact of each element
- Can positively impact the client/care provider relationship-builds trust
- Involves therapeutic use of self
- Probing questions might include: Why do you have more or less space in particular area? Why is this rock so large? How does this impact your ability to do xyz?

(Iwama, 2006; Teoh & Iwama, 2015)





### Step 3: Prioritize issues according to the client's perspective

- Involves identification of spaces BETWEEN obstacles, & areas where there may be NO gap (but where one could potentially be created)
- Occupational therapy goal setting may be started in this step
- This process guides the practitioner to be more occupation-based, since using this model often results in the client identifying more significant concerns within their social and physical contexts, rather than being more component-based

(Iwama, 2006)



### Step 4: Further assess focal points of occupational therapy intervention

- The Kawa model provides a platform for understanding the client's needs, values, circumstances, supports, barriers, and concerns from HIS or HER PERSPECTIVE
- Additional objective measures can be used in this step to obtain further details on specific deficits/concerns that will be addressed in OT treatment
- Objective measures may be indicated related to documentation of outcomes, for reimbursement, or per facility policy
- Goals are finalized in this step

(Iwama, 2006)



### Step 5: Complete occupational therapy intervention

- Rocks (problems) can be addressed in several ways:
  - Directly through remediation/restoration of skills (removal of rocks completely)
  - Adjusting or widening river backs and bottom through adapting to new circumstances or deficits
  - Using driftwood or debris (existing or newly acquired) to shift or wear away part of the rocks
  - Goal is always improved life flow. Remember this doesn't always = independence!

(Iwama, 2006; Teoh & Iwama, 2015)



### Step 6: Evaluate the outcomes of occupational therapy intervention

- Success is measured by a comparison of the client's performance before & after intervention by his/her own criterion
- Comparison of evaluation & discharge Kawa models & collective discussion
- Re-administration of objective measures as needed
- Could result in further goal setting & intervention

(Iwama, 2006; Teoh & Iwama, 2015)





### Example of a Kawa Model



#### continued

### Considerations in using the Kawa model

- Integration into existing evaluations & facility procedures
- Assessing additional skills during the process
- Who draws? Does it have to be drawn?
- Prerequisite skills required
- Creation of multiple models to represent various points in time (prior level of function, current performance, expected discharge status)
- Alternative metaphors; other need to adapt
- Documentation



#### Pros of the Kawa Model

- Client-centered
- Promotes teamwork, collaboration, & engagement
- Identified strengths & barriers
- Focus on strengths/supports in problem resolution
- Use as a before/after assessment
- Representation of well-being
- Culturally sensitive
- Flexible procedures

(Aygün, & Akel, 2018; Carmody et al., 2007; Iwama et al., 2009; Lape, Lukose, Ritter, & Scaife, 2018; Lape & Scaife, 2017; Leadley, 2015; Ober & Lape, 2019; Teoh & Iwama, 2015)

#### continued

### Cons of the Kawa Model



- More focused on experiences; limited focus on impairment may impact use in medically-based settings
- Loose structure can be challenging for new users
- Emotional information elicited during discussions may be difficult to manage

(Aygün, & Akel, 2018; Iwama et al., 2009; Leadley, 2015; Teoh, 2014; Teoh & Iwama, 2015)

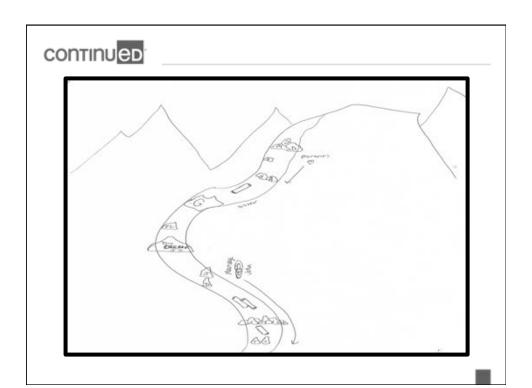


# Example of Kawa Application with Elderly Client

- <u>Client:</u> 78-year-old female. SNF stay due to fall at home. Dx. of hip fracture.
  - Resident tearful upon eval. Emotional state limiting progress in ADL's and mobility.
  - KAWA used in treatment to identify client's perceived limitations/ supports.

#### Results of KAWA:

- ✓ <u>Identified supports:</u> supportive husband, daughter who resides next door, 1-level home, cognitively intact, good PLOF
- ✓ <u>Identified barriers, emotional issues</u>: husband unable to assist physically (signs of confusion at times), resident worries about son who resides with her (unable to hold a job, alcohol abuse, etc.), limited financial resources





# Example of Kawa Application with Elderly Client

#### Interventions:

- ✓ Client reports "relief" at having disclosed her concerns to others who can assist
- ✓ Home assessment.
- ✓ Family education with husband and daughter
- Referral to psychologist and social services to assist with issue with son
- ✓ Arranged for MOW, home health aide, and caregiver thru Area Agency on Aging to assist husband



# Kawa Research in Long Term Care

#### Background/Need:

- Setting: 120 Bed Skilled nursing facility
- Interprofessional collaboration: individuals representing a variety of healthcare disciplines working together "with patients, their families, carers, and communities to deliver the highest quality of care across settings" (WHO, 2010).
  - Client benefits: stronger continuum of care, increased quality of care, higher satisfaction with care
  - Provider benefits: improved communication, efficiency, perceived quality of care, job satisfaction

(Lape, Lukose, Ritter, & Scaife, 2018)



### Kawa Research in Long Term Care

#### Prior research indicates:

- Most research focuses on the *impact* of interprofessional collaboration
- Limited research on specific tools, models, or techniques to improve collaboration & patient outcomes
- Many healthcare professionals lack formal training in collaborative practice (Lape et al., 2018)
- Research suggests the Kawa model can be an effective tool for collaboration and teambuilding among *rehab professionals* (Lape & Scaife, 2017; Ober & Lape, 2019)

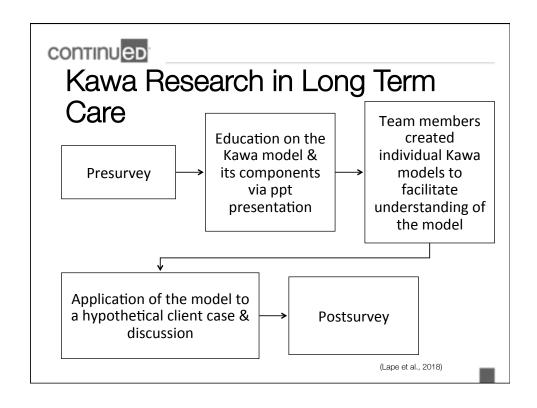


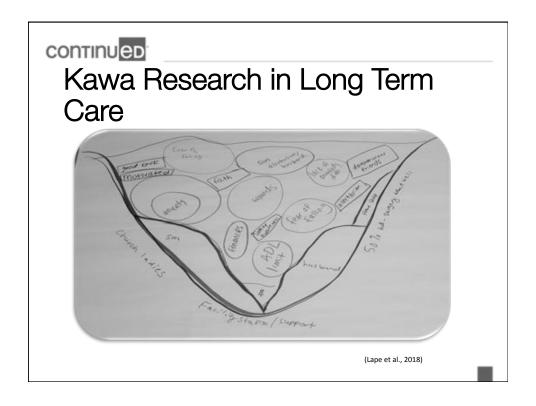
# Kawa Research in Long Term Care

- Study Question:
  - Is the Kawa an effective tool for interprofessional collaboration?
- Methods:
  - N= 10
  - Methods: Education on the Kawa model provided & group application of the model to a hypothetical client case
  - Outcome measures:
    - Author-generated pre and post surveys

(Lape et al., 2018)









### Kawa Research in Long Term Care

#### Results:

- All participants agreed or strongly agreed the model provided a common language for interprofessional collaboration
- 90% felt using this process could increase collaboration in their facility
- Participants with more experience in the setting indicated they were more likely to use in future practice
- Education lasting ~ 1.5 hours may be sufficient to educate on the model & promote its use (Lape et al., 2018)

#### CONTINU ED

# Kawa Use in Home Care / Caregiving

- Kawa used with 10 disabled families unveiled concerns related to finances & intra-family relationships. Family workshops on the model facilitated "storytelling about the life span experiences of each...family." (Chupradit & Iwama, 2018)
- Suggested involvement of client and families related to care planning/discharge planning (Lape et al., 2018)
- Integrated model or two separate models to view client's and caregiver's perspectives (Warna, 2006)



# Kawa Use in Home Care / Caregiving

- Use of the Kawa improved understanding of what occupational therapy is & that our scope extends beyond ADL's to social & physical environments
- Kawa model used as an interview tool for caregivers of elderly with terminal illnesses to determine benefits of caregiving (Ghani, Ainuddin, & Dahlanm, 2016)
- New study: Exploring application of the Kawa model in home care related to fall prevention and falls self-efficacy

#### CONTINU ED

# Example of Kawa Application with Caregiver

#### Caregiver:

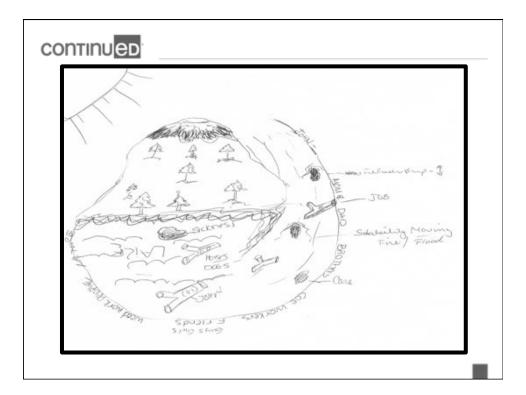
mother with cancer. Physical therapy assistant.

 $\checkmark$  KAWA completed during mother's home visit

#### Results of KAWA:

- ✓ <u>Identified supports:</u> supportive friends, parents, & coworkers, PTA skills/steady job
- ✓ <u>Identified barriers, emotional issues</u>: mother with cancer requiring increased care, elderly father, loss of home due to flood/fire-currently staying with parents, lack of intimate personal relationship, financial worries





#### CONTINUED

# Example of Kawa Application with Caregiver

#### Through collaborative discussions:

- ✓
  - her company)
- ✓ Identified friend who is an accountant to seek financial advice
- ✓ Worked with IDT to obtain additional supports in the home for mom including paid caregivers 2 evenings per week
- ✓ Identified a neighbor willing to provide caregiver relief every other Saturday
- ✓ Suggested adaptations to allow mother/daughter to participate in church activities they previously enjoyed together



### Kawa Research in Community Based Practice

- Background/Need:
  - Setting: YMCA
  - Increase in the aging population
  - Aging in place: "The ability to live in one's own home and community safely, independently, and comfortably, regardless of age, income, or ability level." (CDC, 2009, para.5)
  - Most older adults desire to age in place, but many lack knowledge and preparedness to do so
  - Unpreparedness can decrease current well-being and limit potential to successfully age in place

(Newbury & Lape, 2019)



### Kawa Research in Community Based Practice

- Prior research indicates:
  - Individualization/client-centeredness improves outcomes
  - Well-being improved by preventive and wellness education
  - Well-being improved by education specific to aging and age-related role changes

(Newbury & Lape, 2019)



### Kawa Research in Community Based Practice

- Study Question: Does aging in place education improve well-being in community dwelling older adults?
- Methods:
  - N= 7
  - Methods: Provided one-on-one assessment and education to each subject
  - Outcome measures:
    - Psychological General Well-being Index (PGWBI)
    - Kawa Activity
    - Author-generated survey

(Newbury & Lape, 2019)

#### CONTINU ED Kawa Research in Community **Based Practice** Session 1 Sessions 2-4 ■-Administer PGWBI & Last Session author survey -Individualized education ■-Complete Kawa activity via discussion, -Re-administered PGWBI demonstration ■Identify client priorities, & author survey assets, barriers, -Collaborative creation of -Repeated Kawa activity perceptions related to individualized action plan to determine changes in aging in place to implement strategies priorities, plans, for safe aging in place perceptions of assets & barriers to aging in place (Newbury & Lape, 2019)



### Kawa Research in Community Based Practice

#### • Quantitative Results:

- 86% demonstrated improved well-being on both quantitative measures.
- 100% demonstrated improvement on the positive well-being subset of the PGWBI.
- Mean scores for the group demonstrated improvement in all subsets of the PGWBI.

(Newbury & Lape, 2019)

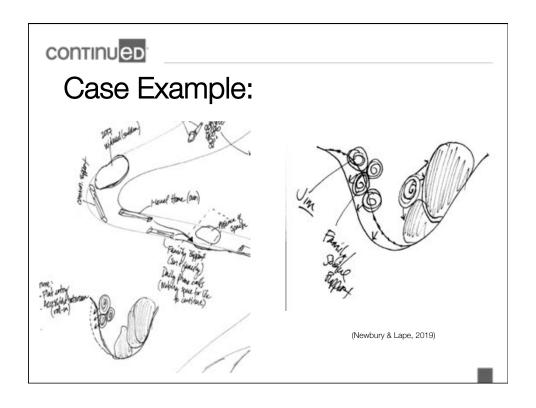


# Kawa Research in Community Based Practice

#### Qualitative Results:

- Education & creating a plan for aging in place led to decreased concern and ability to envision positive change.
- Having an organized plan for aging in place generated immediate action to implement strategies for safely aging in place.
- Addition of knowledge/resources did not always remove rocks (barriers), but increased space/opportunity for improved life flow (well-being).
- Family/caregivers strongly supported participation in the project.
- The Kawa model was effective in this setting for improving communication, identifying strengths and barriers, and building rapport.





### Summary The Kawa can:

- Serve as an intervention or assessment tool for elderly clients & caregivers
- Promote interprofessional collaboration & continuity of care
- Promote client-centered goals & occupation-based activities
- Reveal connections between the client & his/her context that may be missed in medically-based assessments
- Increase ability to provide culturally competent care







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